

Intermediary Oversight Consultant

Job number: 851014



Scope of the role:

The International Intermediary Oversight (IIO) team is a part of Distribution Global Function (DGF). DGF provides a range of business functions to support Sales & Relationship efforts and to Enable Growth, Manage and Protect the Brand, and to Drive Effectiveness.

IIO is now looking for a team player with strong analytical skills as well as capability to support/establish processes since new regulations and regulatory updates will continue to have an impact on the financial industry and its participants. Successful candidate will contribute to the development and implementation of a robust intermediary oversight framework covering over 1000 intermediaries worldwide. The Consultant role will require work in international teams and with global clients.

We are currently looking for someone to join us for a 1 year contract.

Working with us, you will be responsible for:

- Responsible for the day-to-day management of due diligence process of intermediary population. Managing requests and associated operational related activity for distributors.
- Coordinate and execute initial, on-going, and thematic due diligence reviews for distributors, which includes due diligence questionnaire review and KYC refresh.
- Maintain RBAs (risk-based approach profiles) for distributors. Review internal and external delegates on a regular basis to ensure that the distributors are adhering to the contractual arrangements.
- Support relationship with external provider for enhanced due diligence (UME platform) and ensure that the solution (UME) meets FT requirements and needs.
- Enhancing the operation of and contributing to the evolution of the distribution oversight workflows.
- Contribute to/ run ad-hoc projects and initiatives, collaborating and engaging with various internal functions across various regions.
- Provide insights to the distribution team to improve the client experience and support with sales or distribution initiatives.
- Work closely with Compliance, Legal and AML teams during implementation or changes resulting from new regulation, existing CSSF rules or process improvements to understand impact to existing operations.
- Oversee maintenance of policies and procedures to ensure all key documents are robust under scrutiny.
- Assist in audits/ regulatory requests.

Benefits for you



Local work
with global
perspective



Attractive
location
in the center
of Poznań



Medical Care and
Life Insurance



Office gym
and optional
MultiSport cards



Investment
opportunities



Company
childcare



Charity
events



Learning
resources

APPLY!



Intermediary Oversight Consultant

Job number: 851014

We are looking for candidates who (have):

- Attention to detail and analytical and problem-solving skills.
- At least 5 years' experience within the asset management/ investment funds industry, ideally in Operations, KYC/ AML and/ or with experience in implementing regulatory or business critical projects.
- Relationship management, experience with positive and challenging interactions with Senior stakeholders and external clients.
- Candidate should have evidence of using effective planning and organizing skills in a previous role.
- Outstanding written and verbal communication skills in English.
- Proven to take initiative in a changing/growing environment.
- Proactively monitor the distribution framework program to identify and address issues early, critically analyse problems and communicate potential issues impacting the organization.
- Ability to work with minimal supervision within a team environment.

Benefits for you



Local work
with global
perspective



Attractive
location
in the center
of Poznań



Medical Care and
Life Insurance



Office gym
and optional
MultiSport cards



Investment
opportunities



Company
childcare



Charity
events



Learning
resources

APPLY!